

01/04/01



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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

re application of:

Shihiko HIGUCHI

Serial No: Not assigned

Filed: January 4, 2001

For: SEMICONDUCTOR DEVICE AND METHOD FOR MANUFACTURING THE SAME



Box PATENT APPLICATION

Commissioner for Patents

Washington, D.C. 20231

Dear Sir:

CORRESPONDENCE ADDRESS:

☒ Customer Number

000026021



26021

PATENT TRADEMARK OFFICE

Transmitted herewith for filing is the patent application identified above.

☒ 8 sheet(s) of drawings (☐ formal ☒ informal) is(are) enclosed.☒ 27 page(s) of specification and 1 page(s) of abstract of the invention are enclosed.☐ An assignment of the invention to SEIKO EPSON CORPORATION ☐ is enclosed ☒ will follow.☐ An associate power of attorney ☐ is enclosed ☐ will follow.☐ Applicant claims small entity status. See 37 CFR 1.27.☐ Declaration and Power of Attorney ☐ is enclosed ☒ will follow.☐ A certified copies of Japanese Patent Application Nos. 2000-000935 filed January 6, 2000 and 2000-394959 filed December 26, 2000 from which priority is claimed under 35 U.S.C. § 119 is enclosed.☐ IDS enclosed (☐ with reference(s)).☐ Preliminary Amendment is enclosed.☐ Application data sheet is enclosed. See 37 CFR 1.76.☒ Return postcard is enclosed.

CALCULATION OF FEES

ITEM	TOTAL NO. OF CLAIMS		NO. OF CLAIMS OVER BASE	LG/SM \$ ENTITY FEE	\$ AMOUNT	\$ FEE
A TOTAL CLAIMS FEE E	20	-20	0	LG=\$18 SM=\$9	\$18	0
B INDEPENDENT CLAIMS FEE*	8	-3	5	LG=\$80 SM=\$40	\$80	400
C SUBTOTAL - ADDITIONAL CLAIMS FEE (ADD FINAL COLUMN IN LINES A + B)						400
D MULTIPLE-DEPENDENT CLAIMS FEE					LARGE ENTITY FEE = \$270 SMALL ENTITY FEE = \$135	\$ 0
E BASIC FEE					LARGE ENTITY FEE = \$710 SMALL ENTITY FEE = \$355	\$ 710
F TOTAL FILING FEE (ADD TOTALS FOR LINES C, D, AND E)						\$ 1110
G ASSIGNMENT RECORDING FEE					\$ 40	\$ 40
*LIST INDEPENDENT CLAIMS 1, 7, 8, 9, 10, 11, 12, 15.						

☐ Please charge my Deposit Account No. 50-1314 the amount of \$ 0. A copy of this letter is enclosed.☐ A check in the amount of \$ 0 to cover the filing fee is enclosed.☐ A check in the amount of \$ 40.00 to cover Assignment Recordation fee is enclosed.☐ The Commissioner is hereby authorized to charge any deficiency for the following fees associated with this communication or credit any overpayment to Deposit Account No. 50-1314. A copy of this sheet is enclosed.☐ Any additional filing fees required under 37 C.F.R. 1.16☐ Any patent application processing fees under 37 C.F.R. 1.17Respectfully submitted,
HOGAN & HARTSON, L.L.P.

By:

Louis A. Mok
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Date: January 4, 2001

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Toshihiko HIGUCHI

Serial No: Not assigned

Filed: January 4, 2001

For: SEMICONDUCTOR DEVICE AND METHOD FOR
MANUFACTURING THE SAME

Art Unit: Not assigned

Examiner: Not assigned

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Date of Deposit: January 4, 2001

Box PATENT APPLICATION
Commissioner for Patents
Washington, D.C. 20231

Dear Sir:

I hereby certify that

- ☒ two copies of a letter of transmittal
- ☒ patent application (27 page(s) of specification; 20 claim(s); 1 page(s) of abstract
- ☒ 8 sheet(s) of informal drawings
- ☒ return postcard

are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service with sufficient postage under 37 C.F.R. § 1.10 on the date indicated above and are addressed to:

Box PATENT APPLICATION
Commissioner for Patents
Washington, D.C. 20231.

Date: January 4, 2001

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Darin Sutton
Name of person mailing papers

Signature